

Attorney's Docket No.: 09158-003002

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : William J. Clancey et al.                      Art Unit : 3624  
Serial No. : 09/855,684                                      Examiner : Richard C. Weisberger  
Filed : May 16, 2001  
Title : POPULATING CELLS OF AN ELECTRONIC FINANCIAL STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TERMINAL DISCLAIMER**

Pursuant to 37 CFR §3.73(b), MODERNSOFT, INC., certifies that it is the assignee of the entire right, title, and interest in the above application by virtue of an assignment from the inventors of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel 9679, Frame 0640 on January 4, 1999. Modernsoft, Inc. also certifies that it is the assignee of U.S. Patent Numbers 6,134,563 (Application No. 08/933,584) and 6,292,811 (Application No. 09/114,590) by virtue of assignments recorded in the Patent and Trademark Office. A copy of the assignments are attached for your reference.

The undersigned is empowered to act on behalf of the assignee.

Pursuant to 37 CFR §1.321(b), and to obviate a double patenting rejection, the assignee identified above hereby waives and disclaims the terminal portion of the term of the entire patent to be granted upon the above identified application subsequent to the expiration date of U.S. Patent Numbers 6,134,563 and 6,292,811, provided that any patent granted on the above identified application shall be enforceable only for and during such period that it is commonly owned with U.S. Patent Numbers 6,134,563 and 6,292,811.


The assignee identified above does not disclaim any terminal part of any patent granted on the above identified application prior to the expiration date of the full statutory term of U.S.

**CERTIFICATE OF TRANSMISSION BY FACSIMILE**

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

June 11, 2003

Date of Transmission



Signature



Typed or Printed Name of Person Signing Certificate

Applicant : William J. Clancey et al.  
Serial No. : 09/855,684  
Filed : May 16, 2001  
Page : 2 of 2

Attorney's Docket No.: 09158-003002

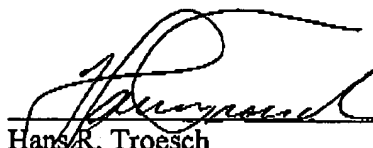
Patent Numbers 6,134,563 and 6,292,811 in the event that they later: expire for failure to pay a maintenance fee, are held unenforceable, are found invalid, are statutorily disclaimed in whole or terminally disclaimed under 37 CFR §1.321(a), have all claims cancelled by a reexamination certificate, or are otherwise terminated prior to expiration of their statutory term, except for the separation of legal title as stated above. Assignee herein does not disclaim or otherwise affect any part of U.S. Patent Numbers 6,134,563 and 6,292,811.

This disclaimer runs with any patent granted on the above application and is binding upon the grantee, its successors or assigns.

Please apply the terminal disclaimer fee of \$55.00, or any additional fees, or any credits, to Deposit Account No. 06-1050, referencing Attorney Docket No. 09158-003002.

Respectfully submitted,

Date:

11 June 03  
Hans R. Troesch  
Reg. No. 36,950

Fish & Richardson P.C.  
500 Arguello Street, Suite 500  
Redwood City, California 94063  
Telephone: (650) 839-5070  
Facsimile: (650) 839-5071

50150482.doc



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APRIL 09, 1999

PTAS

FISH & RICHARDSON P.C.  
HANS R. TROESCH  
2200 SAND HILL ROAD  
SUITE 100  
MENLO PARK, CA 94025



\*100940627A\*

RECEIVED

APR 19 1999

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

FISH & RICHARDSON  
SILICON VALLEY OFFICE

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/04/1999

REEL/FRAME: 9679/0640  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

CLANCEY, WILLIAM J.

DOC DATE: 11/13/1998

## ASSIGNOR:

HECHT, LEE

DOC DATE: 11/13/1998

## ASSIGNOR:

HELPERT, ERICH A.

DOC DATE: 11/13/1998

## ASSIGNOR:

WU, JOHN

DOC DATE: 11/13/1998

## ASSIGNOR:

CANTY, EDGAR P.

DOC DATE: 11/13/1998

## ASSIGNEE:

MODERNSOFT, INC.  
555 BRYANT STREET, #374  
PALO ALTO, CALIFORNIA 94301

* No Docketing Required *
Reviewed By Practice Systems
Initials: <i>[Signature]</i>
Reviewed By Billing Secretary
Initials: _____

9679/0640 PAGE 2

SERIAL NUMBER: 09114590  
PATENT NUMBER:

FILING DATE: 07/13/1998  
ISSUE DATE:

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Substitute Form PTO-1595

01-11-1999

Attorney Docket No.: 09158/003004

MRD 1-7-99

RE



ET

Assistant Commissioner for Patents: Plei

100940627

.it.

RECEIVED  
1999 JAN -7 PM  
OPR/FINANC.

1. Name of conveying party(ies):  
**William J. Clancey; Lee Hecht; Erich A. Helfert;  
 John Wu; Edgar P. Cauty**

2. Name and address of receiving party(ies):  
**Modernsoft, Inc.  
 555 Bryant Street, #374  
 Palo Alto, CA 94301**

Additional name(s) attached? ☐ Yes ☒ NoAdditional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other:

Execution Date: 11/13/98

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is:

A. Patent Application No.(s):

B. Patent No.(s):

09/114,590

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

**Hans R. Troesch  
 Fish & Richardson P.C.  
 2200 Sand Hill Road, Suite 100  
 Menlo Park, CA 94025**

6. Total number of applications/patents involved: [1]

7. Total fee (37 CFR 3.41): \$40

- ☒ Enclosed  
☐ Authorized to charge deposit account

8. Deposit account number: 06-1050

If the fee above is being charged to deposit account,  
 a duplicate copy of this cover sheet is attached.  
 Please apply any additional charges, or any credits,  
 to our Deposit Account No. 06-1050.

DO NOT USE THIS SPACE

9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.

**Hans R. Troesch**

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 4

Date of Deposit: January 4, 1999

I hereby certify under 37 CFR 1.8(a) that this correspondence is  
 being deposited with the United States Postal Service as first class  
 mail with sufficient postage on the date indicated above and is  
 addressed to the Assistant Commissioner for Patents, Washington,  
 D.C. 20231.

Bridget Cougan

PATENT  
ATTORNEY DOCKET NO. 09158/003001  
ASSIGNMENT

## ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS, William J. Clancey, Lee Hecht, Erich A. Helfert, John Wu and Edgar P. Canty, hereby sell, assign, and transfer to ASSIGNEE, Modernsoft, Inc., a California Corporation, having its offices located at 555 Bryant Street, #374, Palo Alto, California 94301, and the successors, assigns and legal representatives of the ASSIGNEE all of their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements in the invention entitled:

### POPULATING CELLS OF AN ELECTRONIC FINANCIAL STATEMENT

and which

[ X ] was filed on July 13, 1998

[X] with Express Mail No. EL 110 517 429 US (Application Number not yet known):

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or in any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and that ASSIGNORS will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the execution date of the application and/or the filing date and serial number of said application when officially known.

ASSIGNORS request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE.

executed this

13th day of November

Signature of Inventor

William J. Clancey

STATE OF CALIFORNIA )

) ss.

County of SAN MATEO )

On NOVEMBER 13, 1998, before me, MARNI MASTERSON, a Notary Public for the State of California, personally appeared William J. Clancey, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal




PATENT  
ATTORNEY DOCKET NO. 09156/003001  
ASSIGNMENT

executed this

13<sup>th</sup>  
day of November

Signature of Inventor

Lee Hecht  
Lee Hecht

STATE OF CALIFORNIA )

) ss.

County of SAN MATEO )

On NOVEMBER 13, 1998, before me, MARNI MASTERSON, a Notary Public for the State of California, personally appeared Lee Hecht, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal



executed this

13<sup>th</sup>  
day of November 1998

Signature of Inventor

Erich A. Helfert  
Erich A. Helfert

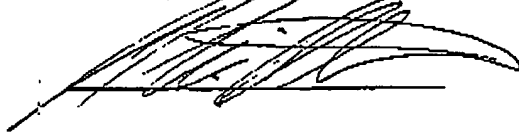
STATE OF CALIFORNIA )

) ss.

County of SAN MATEO )

On NOVEMBER 13, 1998, before me, MARNI MASTERSON, a Notary Public for the State of California, personally appeared Erich A. Helfert, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal



executed this

13<sup>th</sup>  
day of November

Signature of Inventor

John Wu  
John Wu

STATE OF CALIFORNIA )

) ss.

County of SAN MATEO )

On NOVEMBER 13, 1998, before me, MARNI MASTERSON, a Notary Public for the State of California, personally appeared John Wu, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

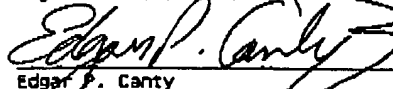
Witness my hand and official seal



executed this

13<sup>th</sup> day of November

Signature of Inventor

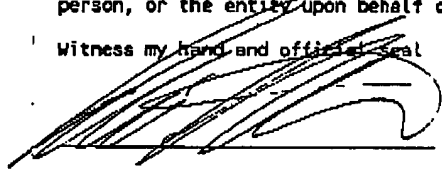
  
Edgar P. Canty

STATE OF CALIFORNIA )

County of SAN MATEO ) ss.

On NOVEMBER 13, 1998, before me, MARNI MASTERSON, a Notary Public for the State of California, personally appeared Edgar P. Canty, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal



95386, PAL1





UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MAY 29, 1998

FISH & RICHARDSON P.C.  
EDOUARD A. GARCIA  
2200 SAND HILL ROAD  
SUITE 100  
MENLO PARK, CA 94025

PTAS

RECEIVED

JUN 08 1998



\*100677758A\*

FISH & RICHARDSON P.C.  
SILICON VALLEY OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/27/1998

REEL/FRAME: 9070/0947  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CLANCEY, WILLIAM J.

DOC DATE: 09/19/1997

ASSIGNOR:

HECHT, LEE

DOC DATE: 09/19/1997

ASSIGNOR:

HELFERT, ERICH A.

DOC DATE: 09/19/1997

ASSIGNOR:

WU, JOHN

DOC DATE: 09/19/1997

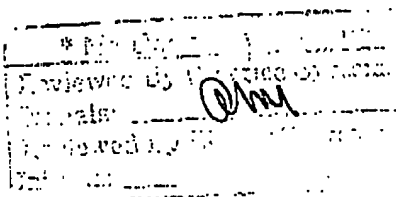
ASSIGNOR:

CANTY, EDGAR P.

DOC DATE: 09/19/1997

ASSIGNEE:

MODERNISOFT, INC.  
555 BRYANT STREET, #374  
PALO ALTO, CALIFORNIA 94301



9070/0947 PAGE 2

SERIAL NUMBER: 08933584  
PATENT NUMBER:

FILING DATE: 09/19/1997  
ISSUE DATE:

LAWAN FLETCHER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

Substitute Form PTO-1595

REI

04-01-1998

Attorney Docket No.: 09158/002001

ET

Assistant Commissioner for Patents: Plea

100677758

1. Name of conveying party(ies):  
 William J. Clancey, Lee Hecht, Erich A. Helfert,  
 John Wu, Edgar P. Canty

Additional name(s) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other:

Execution Date: 9/19/97

4. Application number(s) or patent number(s):

If this document is being filed with a new application, the execution date of the application is: 9/19/97

A. Patent Application No.(s):

08/933,584

2. Name and address of receiving party(ies):

Modernsoft, Inc.  
 555 Bryant Street, #374  
 Palo Alto, CA 94301

MAR 27 1998

Additional names/addresses attached? ☐ Yes ☒ No

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence concerning document should be mailed:

Edouard A. Garcia  
 Fish & Richardson P.C.  
 2200 Sand Hill Road, Suite 100  
 Menlo Park, CA 94025

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR 3.41): \$40

- ☒ Enclosed  
☐ Authorized to charge deposit account

8. Deposit account number: 06-1050

If the fee above is being charged to deposit account, a duplicate copy of this cover sheet is attached. Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.

DO NOT USE THIS SPACE

9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and the attached is the original document.

Edouard A. Garcia, Reg. No. 38,461

Name of Person Signing

Signature

3/23/98

Date

Total number of pages including cover sheet, attachments, and document: 4

87568, PAL1

04/06/1998 TTDN11 00000173 08933584  
 01 FC:581 40.00 DP

Date of Deposit March 23, 1998

I hereby certify under 37 CFR 1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Rosa A. Caviedes

Rosa A. Caviedes

PATENT  
ATTORNEY DOCKET NO. 09158/002001  
ASSIGNMENT

### ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS, William J. Clancey, Lee Hecht, Erich A. Helfert, John Wu and Edgar P. Canty, hereby sell, assign, and transfer to ASSIGNEE, Modernsoft, Inc., a California Corporation, having its offices located at 555 Bryant Street, #374, Palo Alto, California 94301, and the successors, assigns and legal representatives of the ASSIGNEE all of their right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements in the invention entitled:

#### CREATING AND EDITING DOCUMENTS

and which is found in

- (a) X U.S. patent application executed on September 19, 1997, entitled as above and listing the above named persons as inventors
- (b)        U.S. patent application serial no.                      filed on                     .
- (c)        U.S. Patent No.:                     , issued                     .

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or in any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and that ASSIGNORS will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the execution date of the application and/or the filing date and serial number of said application when officially known.

ASSIGNORS request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE.

executed this

19 day of Sept 1997

Signature of Inventor

William J. Clancey  
William J. Clancey

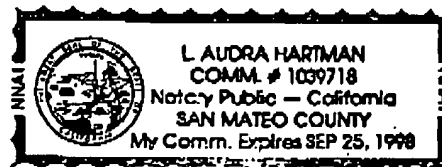
STATE OF CALIFORNIA )

County of SAN MATEO ) ss.

On 9-19-97, before me, L. AUDRA HARTMAN, a Notary Public for the State of California, personally appeared William J. Clancey, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal

L. Audra Hartman



PATENT  
ATTORNEY DOCKET NO. 09158/002001  
ASSIGNMENT

executed this

19<sup>th</sup> day of September 1997

Signature of Inventor

Lee Hecht

Lee Hecht

STATE OF CALIFORNIA )

County of SAN MATEO )

ss.

On 9-19-97, before me, L. AUDRA HARTMAN, a Notary Public for the State of California, personally appeared Lee Hecht, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal

L. Audra Hartman



executed this

19<sup>th</sup> day of September 1997

Signature of Inventor

Erich A. Helfert

Erich A. Helfert

STATE OF CALIFORNIA )

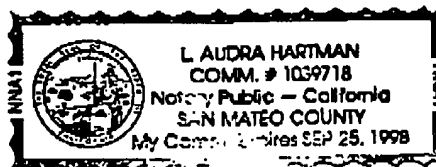
County of SAN MATEO )

ss.

On 9-19-97, before me, L. AUDRA HARTMAN, a Notary Public for the State of California, personally appeared Erich A. Helfert, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal

L. Audra Hartman



executed this

19<sup>th</sup> day of Sept. 1997

Signature of Inventor

John Wu

John Wu

STATE OF CALIFORNIA )

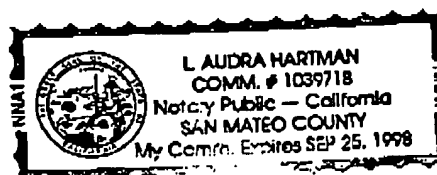
County of SAN MATEO )

ss.

On 9-19-97, before me, L. AUDRA HARTMAN, a Notary Public for the State of California, personally appeared John Wu, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal


L. Audra Hartman



executed this

19<sup>th</sup> day of SEPTEMBER, 1997

Signature of Inventor

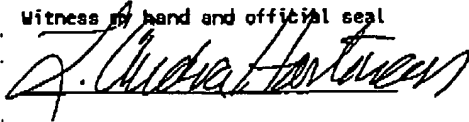
  
Edgar P. Canty

STATE OF CALIFORNIA )

County of SAN MATEO ) ss.

On 9-19-97, before me, L. AUDRA HARTMAN, a Notary Public for the State of California, personally appeared Edgar P. Canty, personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument, and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

Witness my hand and official seal



77093.PALJ

Substitute Form PTO-1595

12-03-1997

Attorney Docket No.: 09158/002001

RE

:ET

08/933584

MLO  
9-19-97

100581883

Assistant Commissioner for Patents: Please

1. Name of conveying party(ies):  
William J. Clancey, Lee Hecht, Erich A. Helfert,  
John Wu, Edgar P. Canty

2. Name and address of receiving party(ies):  
Modersoft, Inc.  
555 Bryant Street, #374  
Palo Alto, CA 94301

Additional name(s) attached? ☐ Yes ☒ NoAdditional names/addresses attached? ☐ Yes ☒ No

3. Nature of conveyance:  
☒ Assignment  
☐ Merger  
☐ Security Agreement  
☐ Change of Name  
☐ Other:

Execution Date: 9/19/97

4. Application number(s) or patent number(s):  
If this document is being filed with a new application, the execution date of the application is: 9/19/97  
A. Patent Application No.(s): B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name/address of party to whom correspondence  
concerning document should be mailed:

Edouard A. Garcia  
Fish & Richardson P.C.  
2200 Sand Hill Road, Suite 100  
Menlo Park, CA 94025

6. Total number of applications/patents involved: 1

7. Total fee (37 CFR 3.41): \$40  
☒ Enclosed  
☐ Authorized to charge deposit account

8. Deposit account number: 06-1050  
If the fee above is being charged to deposit account,  
a duplicate copy of this cover sheet is attached.  
Please apply any additional charges, or any credits,  
to our Deposit Account No. 06-1050.

DO NOT USE THIS SPACE

9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and the  
attached is the original document.

Edouard A. Garcia

Name of Person Signing

Signature

9/19/97

Date

Total number of pages including cover sheet, attachments, and document: 4

02 1997 TRADE 000000 0973.1554  
00 00.531 00.00 00

"EXPRESS MAIL" Mailing Label Number EM 34407539905

Date of Deposit September 19, 1997

I hereby certify under 37 CFR 1.10 that this correspondence is being  
deposited with the United States Postal Service as "Express Mail Post  
Office To Addressee" with sufficient postage on the date indicated  
above and is addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231.

ANDREW R. HUTCHINSON